



08-31-00



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1 Inventorship ..... Mariani et al.  
2 Applicant ..... Microsoft Corporation  
3 Attorney's Docket No. ..... MS1-579US  
Title: Systems and Methods for Limiting Access to Potentially Dangerous Code

**TRANSMITTAL LETTER AND CERTIFICATE OF MAILING**

5 To: Commissioner of Patents and Trademarks From: James R. Banowsky (509) 324-9256  
6 Washington, D.C. 20231 Lee & Hayes, PLLC  
7 421 W. Riverside Avenue, Suite 500  
8 Spokane, WA 99201

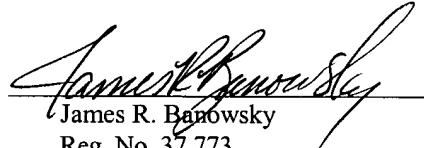
9 The following enumerated items accompany this transmittal letter and are being submitted for the  
10 matter identified in the above caption.

11 1. Transmittal Letter with Certificate of Mailing included.  
12 2. PTO Return Postcard Receipt  
13 3. Check in the Amount of \$1,390  
14 4. Fee Transmittal  
15 5. New patent application (title page plus 24 pages, including claims 1-35 & Abstract)  
16 6. Executed Declaration  
17 7. 3 sheets of formal drawings (Figs. 1-3)  
18 8. Assignment w/Recordation Cover Sheet

19 Large Entity Status  Small Entity Status

20 The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit  
21 Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any  
22 processing fees under 37 CFR 1.17.

23 Date: 8/29/00

24 By:   
James R. Banowsky  
Reg. No. 37,773

**CERTIFICATE OF MAILING**

25 I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal  
26 Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in  
27 an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the  
28 below-indicated date. Any Express Mail No. has also been marked on the listed items.

29 Express Mail No. (if applicable) EL6 24352520

30 Date: Aug. 29, 2000 By: Helen M. Hare  
31 Helen M. Hare

624352520

PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$1,390 <sup>00</sup>)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	MARIANI ET AL.
Examiner Name	
Group / Art Unit	
Attorney Docket No.	MSI - 5794S

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 12-0769

Deposit Account Name LEE & HAYES, PLLC

Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2.  Payment Enclosed:

Check  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	690	201 345 Utility filing fee	690
106	310	206 155 Design filing fee	
107	480	207 240 Plant filing fee	
108	690	208 345 Reissue filing fee	
114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims 35	-20** = 15	18 = 270
Independent Claims 8	-3** = 5	78 = 390
Multiple Dependent		

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	78	202 39 Independent claims in excess of 3
104	260	204 130 Multiple dependent claim, if not paid
109	78	209 39 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 660 <sup>00</sup>)

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	380	216 190 Extension for reply within second month	
117	870	217 435 Extension for reply within third month	
118	1,360	218 680 Extension for reply within fourth month	
128	1,850	228 925 Extension for reply within fifth month	
119	300	219 150 Notice of Appeal	
120	300	220 150 Filing a brief in support of an appeal	
121	260	221 130 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,210	241 605 Petition to revive - unintentional	
142	1,210	242 605 Utility issue fee (or reissue)	
143	430	243 215 Design issue fee	
144	580	244 290 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	240	126 240 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	40
146	690	246 345 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	690	249 345 For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			
Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$ 40 <sup>00</sup> )	

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	James R. Banowsky	Registration No. (Attorney/Agent)	31,773	Telephone	(509)324-9256
Signature	<i>James R. Banowsky</i>		Date	8/29/00	

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.